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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/814,682	03/20/2001	Zaccaria	99-40149-C

CONFIRMATION NO. 7361

FORMALITIES LETTER



OC000000006133337

 LOUIS M. HEIDELBERGER, ESQ.
 REED SMITH LLP
 2500 One Liberty Place
 1650 Market Street
 Philadelphia, PA 19103

Date Mailed: 05/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$378.
 - \$108 for 24 total claims over 20.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 508.

*A copy of this notice **MUST** be returned with the reply.*

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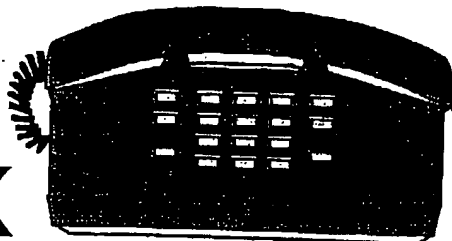
PART 3 - OFFICE COPY

12/04/2001 SDENB0B1 00000086 180586 09814682

02 FC:103		108.00 OP
03 FC:105		130.00 OP
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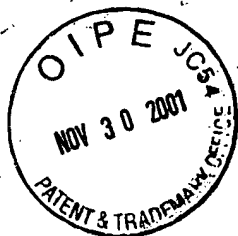
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12-03-01

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(3)

Docket No. 99-40149-C

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent application of :
Zaccaria, et al. :
Serial No.: 09/814,682 ✓ :
Filed: March 20, 2001 : Examiner: Not Yet Assigned
For: Method and Computerized System :
for Reducing Risk in an Energy : Attorney Docket No.: 99-40149-C
Industry :

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION**

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Attention: Office of Initial Patent Examination Division

Dear Sir:

This is in response to a Notice to File Missing Parts of Application - Filing Date Granted (PTO 1553) mailed by the U.S. Patent Office on May 31, 2001, the response to which is due July 31, 2001. A separate Petition and Fee for a Four Month Extension of Time is being filed concurrently herewith extending the date for response to November 30, 2001.

**CERTIFICATE OF MAILING
UNDER 37 C.F.R. 1.1.0**

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service. "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the U.S. Patent and Trademark Office, P.O. Box 2327, BOX MISSING PARTS, Arlington, VA 22202.

Marie G. Duden
Marie G. Duden

Date: 30 November, 2001 Express Mail Label No. EL871796006US

The following papers are being submitted herewith:

1. Check in the amount of \$1,948.00 (\$508.00 balance due by applicant and \$1,440.00 for the Four Month Extension of Time;
2. Copy of Notice to File Missing Parts of Nonprovisional Application; and
3. Self-addressed return postcard.

Please charge any other fee required and/or credit any over charge with respect to this response to Deposit Account No. 18-0586.

Respectfully submitted,



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